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| **Shape  Description automatically generated with low confidence****Leading Financial Crime Compliance APAC Summit****17 – 18 November 2022, Marina Bay Sands Expo and Convention Centre, Singapore***Innovating and building compliance capabilities to manage the risks of disruption, digitisation, and regulatory change*A Summit for senior financial crime and compliance professionals who must advance practice and adapt compliance programmes to meet changing regulatory, business and operational requirements.  |
| **Thursday 17 November – Pre-conference workshop (Optional)** |
| 09:00 – 09:30 | **Registration and arrival for Workshop delegates** |
| 09:30–12:30 | **Financial Crime and Digital Assets**This three-hour workshop provides you with an opportunity to explore the drivers behind, and challenges presented by digital assets from a financial crime compliance lens. * Learn how to build internal capabilities
* How to embed digital assets into your infrastructure, risk management and governance framework
* Review current typologies and case studies
* Best practice guidance on managing crypto-related risks
* A review of recent events in the Crypto industry and how this will lead to new regulatory requirements around the globe

**Workshop Moderators:**Esme Hodson, FICA, *Member and Chief Compliance Officer*, **SC Ventures**Ave D King, *Chief Compliance Officer, APAC*, **FalconX** |
| **Thursday 17 November – Conference Day One** |
| 12.00 – 13:20 | **Lunch** **Registration and arrival for Conference delegates** |
| 13.20-13.30 | **Welcoming Remarks**Pekka Dare, *Vice President*, **International Compliance Association (ICA)** |
| **Mitigating & Managing Geopolitical Risks** |
| 13.30-14.00 | **Keynote & Fireside Chat****A view from above – the latest sanctions developments and advice from OFAC**Charlotte Baskin-Gorlitz, *Sanctions Compliance Officer*, OFAC  |
| 14.05-14.45 | **Panel Discussion****Assessing emerging risks to effectively manage your sanctions exposure**This session will discuss the broad and complex issues affecting compliance professionals, providing steps and tools in order to build and maintain an effective sanctions programme.Discussion points include, but not limited to:* Identifying risks with cross-border relationships
* Examining the impact of the invasion of Ukraine on the Asian market
* Navigating the US and China trade sanctions
* Adhering to human rights sanctions
* Understanding the risks of sanctions evasion through the use of crypto assets

**Panellists**Kimberley Tan, *Country Sanctions Officer*, **Citibank**Nur Fazlim Bt M.Mohamed Kunju, Associate Director, Compliance, Integrity & Governance**, Touch 'N Go Sdn Bhd**Sudhakar Aduri APAC *Head of Sanctions and Anti-Bribery & Corruption Compliance,* **Wells Fargo** Ola Fundowicz, *Director, Regional Head of Sanctions for APAC*, **MUFG****Moderator:** Pekka Dare, *Vice President*, **International Compliance Association (ICA)** |
| 14.45-15.20 | Coffee & Networking |
| **Compliance in the Era of the Disruptors** |
| 15.20-16.05 | **Panel Discussion****Examining Predicate Offences: The Crimes behind the Crime** A predicate offence is a crime that generates monetary gains, such as wildlife trafficking, human trafficking, drug trafficking, corruption and tax evasion.  In this roundtable discussion our panel of experts will:* Discuss local, regional and international trends
* The evolving conditions that enable criminals to commit what has been described as "the crimes behind the crime"
* Consider how financial crime professionals can follow the money and identify valuable information
* Discuss resilient frameworks to stop these crimes

**Panellists:**Mark Nuttall, *Director, Risk Solutions (APAC & MENA),* **Thomson Reuters**Michelle Wong, *Programme Manager*, **Stop Trafficking of People (STOP)** Patricia Ho, *Founder,* **Dignity Institute** **Moderator:** Serona Woo, *Course Director*, **ICA** |
| 16:05-16:15 | Transfer time / comfort break |
| 16.15 - 17.00 | **Panel Discussion** **Compliance and Digital Assets: Practical application of compliance, regulation and conduct in virtual assets*** Practical application of onboarding VASPs
* Implications and advice in complying with the FATF travel rule
* Clarifying responsibilities for consumer protection

**Panellists:**Ave D King, *Chief Compliance Officer, APAC*, **FalconX**Esme Hodson, *Member and Chief Compliance Officer*, **SC Ventures**Chris Holland**,** *Partner,* **Holland & Marie****Moderator:** Nizam Ismail,*CEO & Founder*, **Ethikom Consultancy**  |
| 17.10–17.50 | **Panel Discussion****Developing a robust compliance framework to mitigate AML risks in payments**We are entering a booming area of compliance as fintech, and crypto firms compete with traditional banks to build banking and payment solutions. The regulatory and supervisory responsibility isn’t clear, so what are digital banks and fintechs doing to manage the risks and where does the line of responsibility sit?**Panellists:**Ajit Singh, *Director, Legal AML Compliance*, **Mastercard** Grace Chong, *Head of Financial Regulatory SG,* **Gibson Dunn**Gina Poh, APAC AML Head, **Amber Group****Moderator:** Pekka Dare, *Vice President*, **International Compliance Association (ICA)** |
| 17:50 – 18:00 | Closing remarks from Day One of the ConferencePekka Dare, *Vice President*, **International Compliance Association** |
| 18.00 – 19:00 | Evening Drinks & Networking Reception |

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| **Friday 18 November – Conference Day Two** |
| **Collaborative Approaches to Financial Crime** |
| 08:45 – 09:15 | **Conference arrival and networking** |
| 9:15-09:25 | **Welcome to Day 2**Pekka Dare, *Vice President*, **International Compliance Association** |
| 9.25-10.10 | **Panel Discussion****Representatives from the APAC Wolfsberg Working Group discuss the challenges of Public-Private Partnerships from its recent paper on Effectiveness through Collaboration*** Discuss information sharing frameworks to foster effective AML/CTF regimes
* Outline the challenges to effective collaboration, defining priorities
* Why we need to focus on outcomes to increase effectiveness

**Panellists:**David Cope, *Managing Director, Financial Crime Compliance (APAC*), **Goldman Sachs**Rashmi Dubier, *Managing Director, APAC Head of AML*, **MUFG**Audrey Sim, *Director, Global Financial Crime Compliance (APAC ),* **Bank of America****Moderator:** Hemanshu Parekh, *Director, Financial Crime Compliance*, **Standard Chartered Bank**  |
| 10.10-10.50 | **Case Study****The Fintel Alliance (Australia): How to deliver impact through Public-Private Partnerships** This session will explore the details of a successful AUSTRAC-led public-private partnership between Western Australia Police, CBA, NAB, Westpac and ANZ; a joint intelligence operation which identified money laundering syndicates leading to 13 arrests and the seizure of millions in cash and assets. This partnership won the ICA Best Collaboration of the Year Award 2022 and was highlighted as a pioneering case study demonstrating the value of industry and law enforcement working together. **Speaker**Milan Gigovic, *Head of Financial Crime Threat Management*, **ANZ** |
| 10.50-11.20 | Morning Refreshments & Networking |
| **Preparing for the Challenges of Tomorrow** |
| **Peer Group Interactive Workshops****Build, develop and enhance: Advancing approaches to mitigate risks and ensure compliance** Delegates will have the opportunity to choose from the following sessions depending on their area of interest or focus. Each session will run for 1 hour, providing time for case study presentations, expert insights and panels – with dedicated time for delegates to exchange ideas, share best practice, examine different approaches. |
|  | **Track A – Innovation** | **Track B – Leadership** |
| 11.20-12.20 | **Group Discussion****Optimising the use of data to combat new money laundering trends** * The components needed for effective data use
* An understanding of the methodologies for financial crime analytics
* Maintenance, validation, and deployment to ensure sustainability, accuracy, and reliability.

**Speakers:**James Wu Quinglin, Management Consultant, **Accenture**Michael Holland*, Head of Financial Crime Intelligent Unit,* **Commonwealth Bank, Australia****Moderator:** Pekka Dare, *Vice President*, International Compliance Association (ICA) | **Fireside Chat****Embedding a culture of compliance in our organisations*** How to raise the profile of compliance in the business
* The benefits of involving compliance in product design
* Tips and tricks and simple steps to embedding a culture of compliance

**Speakers:**Julia Chin, *Chief Compliance Officer*, **Hugo Save SG**Sam Neo,CEO & Founder, **Stories of Asia****Nadia Ribeiro,** *Head of Compliance and Regional Director for MENA & APAC regions,* **Checkout.com**Moderator: Esther Lim, Head of Branding and Partnerships, Stories of Asia |
| 12.20-13.20 | Lunch |
| 13.20 - 14.20 | **Panel Discussion** **Adopting a risk-based approach to digital ID and eKYC systems*** Optimising the customer experience
* Implementing a risk-based approach in line with FATF guidance
* Advice on documentation capture during on-boarding
* Incorporating AML and CFT systems and controls
* Best practice for record-keeping requirements

**Panellists:**Bhuuanes Mathavan, *Vice President, Regulatory Advisor and AML/CTF Compliance*, **MIDF Group**Ikrom Idrus, *Senior Supervisor (AML Specialist*) **Bank Negara**Rana Datta, Managing Director, **Protiviti** **Moderator:** Robin Lee, *Head of APAC***, Napier** | **Panel Discussion****Building your Financial Crime team to meet industry expectations for future skills and competencies*** Designing your team role competency framework for the future
* Developing digital capabilities
* Generalist vs Specialist roles?
* Considerations for small to medium sized enterprises

**Panellists:** Joel Cook, Chief Compliance Officer, **Standard Chartered Bank**Devonna Ng, Head of Compliance, **Shinhan Bank****Payal Patel,** *VP Risk and Compliance, MLRO,* **Circle**Tan Wee Soon**,** *Head of Compliance & Risk Management,* **Bank Julius Baer & Co****Moderator:** Pekka Dare, *Vice President*, **International Compliance Association (ICA)** |
| 14:20 – 14:30 | *Time to move between sessions*  |
| 14.30 - 15.20 | **Group Discussion****Capturing risks in a holistic way to build operational resilience** * Transitioning from reactive conduct risk management to a proactive and holistic approach
* Highlighting risks before they become a problem
* Horizon scanning to identify emerging issues
* How to use red flags and key tools to support effective risk management

**Speakers:**Rohayati Talha, *Chief Compliance Officer*, **BNP Paribas**Soon Kit Tam, *Head, Technology & Operations Risk,* **Ant Group – Anext Bank**Ekta Singh, Regional Head of Compliance, **ShuttleOne****Moderator:** Pekka Dare, *Vice President*, **International Compliance Association (ICA)** |
| 15:20-15.40 | Coffee & Networking |
| 15.40 – 16.30 | **Panel Discussion****Defining the role of compliance in greenwashing and ESG disclosure requirements*** Meeting the regulator’s expectations
* Understanding reporting and disclosure responsibilities for compliance teams
* Exploring the potential of AI and tech as an effective solution for ESG reporting
* Greenwashing: Establishing the risks and who owns the reporting - fraud or compliance?

**Panellists:**Claire Herbert, ESG Manager, Asia Pacific, **Schroders**David Liu, Regional Managing Director, Asia Pacific, ESG, **Kroll****Melindah Bush, *Compliance Consultant*, Holland & Marie** **Moderator:** Pekka Dare, *Vice President*, **International Compliance Association (ICA)** |
| 16.30 – 16:45 | **Closing Remarks from the Chair**An opportunity to reflect on the learnings, insights and key takeaways from this year’s Summit. |
| 16.45 | Conference Close |